



Account Number

Dollar-for-Lot Request Form

Customer Instruction and Acknowledgment

TradeUP Securities Inc. (“TradeUP Securities”) is unable to locate a ready market or an independent transfer agent employed by the company(ies) listed below to conduct a stock transfer. As there is no market or transfer agent for these securities, you may assign them to the TradeUP Securities “worthless securities account” for tax purposes. TradeUP Securities will sell the security from your account for one dollar for the lot. The security will then be moved to the TradeUP Securities’ “worthless securities account”. You will have no further claim to the securities and TradeUP Securities makes no claims or predictions concerning the future value of the security.

Please check with your tax advisor to confirm that this course of action will produce no unintended results on your tax return.

Number of Shares	Security Description	Symbol / CUSIP

The undersigned hereby agree(s) to sell to TradeUP Securities, and request(s) that TradeUP Securities purchase, the securities described below in the amounts stated for a purchase price of one dollar (\$1.00) per security issue. The undersigned represent(s) that he/she is the owner of the securities described above in the amounts stated.

The undersigned further agrees to hold TradeUP Securities harmless from and against any and all claims, demands, causes of action, obligations and liabilities of any kind which arise out of, or are in any manner whatsoever connected with or related to this sale.

_____ Shareholder Name	_____ Shareholder Signature	_____ Date
_____ Joint Shareholder Name	_____ Joint Shareholder Signature	_____ Date