



ACCOUNT NUMBER:

ACCOUNT NAME:

Trust Information

In consideration of _____ opening and/or maintaining one or more accounts for the Trust named below, we, the undersigned Trustee(s), certify as follows:

1. The title of the Trust to which this Certificate applies is: _____
Example: Mary Jones and Sam Smith Trustees for the John Brown Revocable Living Trust
2. The date the Trust was established is: _____
3. The date of the last Trust Amendment (if any) is: _____
4. There are no Trustees of the Trust other than the undersigned.
5. The name(s) of the successor trustee(s) (if any) are: _____
6. The grantors of the Trust are: _____

Investments Permitted

7. We certify that we have the power under the trust agreement and applicable law to enter into transactions, both purchases and sales, of the types specified below. (Indicate types of investments which are permitted. The undersigned Trustees must also initial where indicated for margin or option transactions.)

Transactions

- ____ A. Corporate Stocks
- ____ B. Corporate Bonds
- ____ C. Municipal Securities
- ____ D. U.S. Agency Securities
- ____ E. U.S. Government Securities
- ____ F. Unit Investment Trusts
- ____ G. Mutual Funds
- ____ H. Limited Partnerships
- ____ I. Annuities
- ____ J. Margin Transactions (Including Short Sales) _____
- ____ K. Other _____ Initial

Option Transactions

- ____ Level 1—Covered Call Writing
- ____ Level 2—Covered Call Writing, Buying Puts against Long Positions (Married Puts) or Put Writing Against Full Deposit of Strike Price (Covered Puts)
- ____ Level 3—Level 2 Plus Option Buying, Purchasing Put/Call Warrants
- ____ Level 4—Level 3 Plus Option Spreading (American Style)
- ____ Level 5—Level 4 Plus Option Spreading (European Style), Uncovered equity, Put Writing vs. Buying Power (Not Index Options)
- ____ Level 6—Level 5 Plus Uncovered Index Put Writing, Uncovered Straddles, Uncovered Call Writing, (Including Index Options)

Note: Margin and/or Option Transactions

If margin transactions are permitted and specified above, a properly completed margin account agreement, must be submitted and approved.

If options transactions are permitted and specified above, a properly completed option account agreement, must be submitted and approved.

Investment and Checking Instructions

8. You are authorized to accept orders for securities' transactions and other instructions from any one of the individuals or entities listed below. This list includes those persons who are authorized to sign checks for the Trust as shown by (X) after name. Please note: if any individual entity listed is not a trustee, this form must be accompanied by a power of attorney. The trust must permit the transfer of discretionary authority as provided in paragraph 9 below.

Names (Please print)

9. (Strike if not applicable) We certify that we have the power under the Trust Agreement and applicable law to transfer any or all of the discretionary authority we have as Trustees to third parties pursuant to a duly executed power of attorney or other similar documents.
10. We acknowledge receiving all account documentation, agreements and risk disclosure forms.
11. We, the Trustee(s), jointly and severally, in our personal capacities, indemnify you and hold you harmless from any liability for effecting transactions of the types specified above if you act pursuant to instructions given by any of the individuals listed or by any third party named pursuant to a duly executed power of attorney or other similar document.
12. We certify that we have received the advice of our legal counsel in connection with all the certifications and acknowledgements made in this Certification.
13. We acknowledge that you will be relying solely on our certification herein, and that you will not make any review or interpretations of the Trust Agreement for all matters relating to such certifications and operations of the account(s).
14. We agree to inform you, in writing, of any amendment to the Trust, any change in the composition of the Trustee(s) or any other event which could materially alter the Certifications made above.
15. We hereby certify that the undersigned are all Trustee(s) of the Trust:

TRUSTEE NAMES (Please print)

SIGNATURES

ADDRESSES

(All Trustees must sign)

- Should only one person execute this agreement, it shall be a representation that the signer is the sole Trustee.

State: _____

County: _____

Subscribed and sworn before me this _____ day of _____, 20____

Notary Public

Mail To:

TRADEUP SECURITIES INC., 101 Eisenhower Parkway, Roseland, NJ 07068, 1-800-962-7726